Cureus

Review began 02/08/2022 Review ended 02/17/2022 Published 02/24/2022

#### © Copyright 2022

Mosabbir et al. This is an open access article distributed under the terms of the Creative Commons Attribution License CC-BY 4.0., which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

# Investigating the Effects of Auditory and Vibrotactile Rhythmic Sensory Stimulation on Depression: An EEG Pilot Study

Abdullah A. Mosabbir $^1$ , Thenile Braun Janzen $^2$ , Maryam Al Shirawi $^3$ , Susan Rotzinger $^3$ , Sidney H. Kennedy $^4$ , Faranak Farzan $^5$ , Jed Meltzer $^1$ , Lee Bartel $^2$ 

1. Rotman Research Institute, Baycrest Health Sciences, Toronto, CAN 2. Faculty of Music, University of Toronto, Toronto, CAN 3. Department of Psychiatry, University Health Network, Toronto, CAN 4. Centre for Depression and Suicide Studies, St. Michael's Hospital, Toronto, CAN 5. School of Mechatronic Systems Engineering, Simon Fraser University, Surrey, CAN

Corresponding author: Abdullah A. Mosabbir, amosabbir@research.baycrest.org

### Abstract

#### Background

Major depressive disorder (MDD) is a persistent psychiatric condition and one of the leading causes of global disease burden. In a previous study, we investigated the effects of a five-week intervention consisting of rhythmic gamma frequency (30-70 Hz) vibroacoustic stimulation in 20 patients formally diagnosed with MDD. In that study, the findings suggested a significant clinical improvement in depression symptoms as measured using the Montgomery-Asberg Depression Rating Scale (MADRS), with 37% of participants meeting the criteria for clinical response. The goal of the present research was to examine possible changes from baseline to posttreatment in resting-state electroencephalography (EEG) recordings using the same treatment protocol and to characterize basic changes in EEG related to treatment response.

#### Materials and methods

The study sample consisted of 19 individuals aged 18-70 years with a clinical diagnosis of MDD. The participants were assessed before and after a five-week treatment period, which consisted of listening to an instrumental musical track on a vibroacoustic device, delivering auditory and vibrotactile stimulus in the gamma-band range (30-70 Hz, with particular emphasis on 40 Hz). The primary outcome measure was the change in Montgomery-Asberg Depression Rating Scale (MADRS) from baseline to posttreatment and resting-state EEG.

#### Results

Analysis comparing MADRS score at baseline and post-intervention indicated a significant change in the severity of depression symptoms after five weeks (t = 3.9923, df = 18, p = 0.0009). The clinical response rate was 36.85%. Resting-state EEG power analysis revealed a significant increase in occipital alpha power (t = 2.149, df = 18, p = 0.04548), as well as an increase in the prefrontal gamma power of the responders (t = 2.8079, df = 13.431, p = 0.01442).

#### Conclusions

The results indicate that improvements in MADRS scores after rhythmic sensory stimulation (RSS) were accompanied by an increase in alpha power in the occipital region and an increase in gamma in the prefrontal region, thus suggesting treatment effects on cortical activity in depression. The results of this pilot study will help inform subsequent controlled studies evaluating whether treatment response to vibroacoustic stimulation constitutes a real and replicable reduction of depressive symptoms and to characterize the underlying mechanisms.

Categories: Physical Medicine & Rehabilitation, Psychiatry, Psychology Keywords: vibrotactile, vibroacoustic stimulation, gamma stimulation, rhythmic sensory stimulation, electroencephalography, depression

### Introduction

Major depressive disorder (MDD) is a highly prevalent and persistent psychiatric condition that is regarded as one of the leading causes of global disease burden [1,2]. MDD is broadly characterized by at least one of two core symptoms - persistent depressed mood and/or diminished interest or pleasure - accompanied by other identified psychological symptoms present for at least two weeks [1,2]. Although antidepressant medication is the first line of treatment for MDD, a sizeable percentage of patients do not respond to medication even after several treatment attempts as the effects of the many available antidepressants are inconsistent [3]. This unmeet need for treatments with optimal clinical response and rapid onset of benefit

#### How to cite this article

Mosabbir A A, Braun Janzen T, Al Shirawi M, et al. (February 24, 2022) Investigating the Effects of Auditory and Vibrotactile Rhythmic Sensory Stimulation on Depression: An EEG Pilot Study. Cureus 14(2): e22557. DOI 10.7759/cureus.22557 has led to the investigation of brain-based biomarkers to predict the likelihood of a patient benefiting from a certain medication or therapeutic approach, thus optimizing treatment selection and assisting in the development of new treatment alternatives [4–8].

Biomarkers are objective measures of pharmacological response or biological processes that are quantifiable, precise, and reproducible [9]. A promising neurophysiological biomarker is an electroencephalography (EEG). Reports on putative EEG-related biomarkers as predictive of treatment response have been ongoing for many decades, with several comprehensive reviews on this topic [10-12]. The common EEG biomarkers used for predicting response to treatment (at baseline) or as indicative of response (posttreatment versus pretreatment) include measures of change in the activity of EEG canonical frequency bands, hemispheric alpha asymmetry [13-15], theta cordance [16-18], or the antidepressant treatment response index [17,18].

Changes in oscillatory brain activity are also useful for diagnostic purposes and provide relevant information regarding the mechanisms underlying the disorder [19-22]. Of particular interest in the present study are alterations in gamma-band activity in unipolar depression [23,24]. Gamma oscillations are relatively high-frequency (>30 Hz) components of the EEG and have been associated with sensory and cognitive functions and neural plasticity [25]. Recently emerging neurophysiological evidence suggests that alterations in gamma-band oscillations in individuals with unipolar depression compared to healthy controls are associated with mood swings [23], negative response bias during emotional face processing [26], and higher cognitive reactivity in a lexical emotion identification task [27]. Reduced resting gamma at baseline was also found in subjects with elevated depression symptoms [28]. Importantly, it has been consistently shown that pharmacological and non-pharmacological treatments that counteract depression symptoms induce changes in gamma activity, suggesting that gamma oscillations may also be markers of treatment recovery or mediators of therapeutic effect [23,29,30].

The clinical potential of gamma-band modulation with rhythmic sensory stimulation (RSS) has received increased attention in recent years [31,32]. This is in part due to a series of studies conducted in mouse models of Alzheimer's disease where it was demonstrated that the delivery of gamma (i.e., 40 Hz) auditory-visual stimulation significantly improved multiple dementia-related biomarkers by inducing neuroprotective mechanisms in several brain areas [33-35]. One of the hypotheses for the underlying mechanism is that repetitive gamma sensory stimulation would entrain local brain oscillatory activity at gamma frequencies [36,37]. To date, evidence of the therapeutic effects of gamma sensory stimulation is at its initial stages [38-41]. In a previous open-label study [42], we explored the potential effects of sound-driven vibrotactile stimulation on depression. Gamma frequency (30-70 Hz) RSS was embedded in designed instrumental music and delivered for a total of five weeks using a portable consumer device with built-in stereo speakers and a low-frequency transducer, generating both auditory and vibrotactile stimulations. The results indicated a significant improvement from baseline in depressive symptoms and benefits in associated symptoms including sleep quality, quality of life, anhedonia, and music-reward processing.

In the present study, we further investigated the effects of RSS on depression. The current paper aims to examine whether the intervention induces changes from baseline to posttreatment in resting-state EEG recordings and to report basic changes in EEG between responders and nonresponders in the same cohort of depressed participants from our previous study. The analysis focused on basic oscillatory power measurements in canonical frequency bands. We hypothesized that there would be modulation in resting gamma-band frequency posttreatment compared to pretreatment and that responders would differ from nonresponders in gamma activity after the intervention. Given that there have been mixed results in studies investigating the predictive utility of EEG frequency bands, we did not have specific hypotheses regarding other EEG biomarkers.

### **Materials And Methods**

### Study design and ethics statement

This is an open-label pilot study containing a single group of patients diagnosed with major depressive disorder (MDD). The study is a collaboration between the Faculty of Music at the University of Toronto, the Canadian Biomarker Integration Network in Depression (CAN-BIND), and the Baycrest Health Sciences Center. The protocol was approved by the University Health Network Research Ethics Board (15-9799-AE) and registered at ClinicalTrials.Gov (NCT02685982). Written informed consent was obtained from all participants in accordance with the Declaration of Helsinki.

### **Participants**

The study sample consisted of 20 individuals aged 18-70 years with a clinical diagnosis of MDD and currently experiencing a major depressive episode (MDE). The severity of illness was evaluated using the Montgomery-Asberg Depression Rating Scale (MADRS), and the participants were required to score ≥15 [43]. Participants were excluded if they had any Axis I diagnosis (other than MDD) that was considered the primary diagnosis, MDD with psychotic features, a diagnosis of bipolar disorder type I or II, a significant Axis II diagnosis (borderline and antisocial), a formal diagnosis of fibromyalgia, high suicidal risk, substance dependence/abuse in the past six months, and presence of a significant neurological disorder, head trauma

or other unstable medical condition. Other reasons for exclusion included any change in medication type or dosage four weeks prior to enrollment or beginning psychological treatment up to three months prior to enrollment. Female participants who were pregnant or breastfeeding were also excluded. A total of 23 participants were screened, of whom three were excluded due to a recent change in medication dosage or substance misuse. Twenty participants were enrolled and provided informed consent, although one withdrew and did not complete the posttreatment outcome measures. The remaining 19 participants were included in the analyses. The participants received a gift certificate for \$100 CAD.

### Intervention

The intervention consisted of listening to an instrumental musical track embedded with low-pitch sounds on the gamma-band range (30-70 Hz, with particular emphasis on 40 Hz) and binaural detunement at 10-15 Hz whereby different auditory stimuli were presented simultaneously to each ear. The intervention was delivered using a portable consumer device (Sound Oasis Vibroacoustic Therapy System VTS-1000, Sound Oasis, Marblehead, MA, USA; "Energize" soundtrack) with built-in stereo speakers and a low-frequency transducer, which allowed for the low-pitch sounds embedded in the music to be experienced as a mild vibrotactile sensation around the lower-back area of the torso and the presentation of the auditory effects embedded in the music. The intervention was self-administered at home for 30 minutes, five days per week, over five weeks. The participants were instructed to place the device on a chair/bed and relax for the duration of the session, with no specific restrictions on the activities that could be performed during the session. Treatment logs were used to confirm the number of sessions completed, the type of activities performed during each session, and the device settings for the volume of the music and the intensity of the vibrotactile stimulation. Study compliance was assessed via phone or e-mail communication at weeks 2 and 4 of the intervention, as well as through the treatment logs submitted at the final visit.

### **Clinical measures**

The participants were assessed before and after the five-week treatment. The primary clinical endpoint was the change in MADRS from baseline to posttreatment. This clinician-based measure consists of 10 items rated on a 6-point scale, with 0 being "normal/not present" and 6 being "extreme." MADRS total scores range from 0 to 60, with higher scores indicating more severe symptoms. "Responders" were defined as those who achieved ≥50% decrease in MADRS scores from baseline to endpoint, while those with <50% decrease were defined as "nonresponders."

### **EEG recording**

Resting-state EEG was recorded for each subject at baseline and posttreatment visits and consisted of two eight-minute periods containing eyes-open and eyes-closed conditions. During the eyes-open condition, the participants were instructed to fix their gaze centrally on a computer screen and to remain still to minimize any movements or eye blinks.

Recordings were performed using a BioSemi Active Two amplifier system (BioSemi, Amsterdam, The Netherlands) with 64 channels, using active Ag/AgCl electrodes mounted on an elastic cap. Eight additional electrodes were placed below the hairline (both mastoids, both preauricular points, outer canthus of each eye, and inferior orbit of each eye). Eye movements were recorded with the electrodes placed at the outer canthi (horizontal electrooculogram (EOG)) and at the inferior orbits as vertical EOG. Two further electrodes (Common Mode Sense (CMS) active electrode and Driven Right Leg (DRL) passive electrode) were used as reference and ground electrodes, respectively (cf. www.biosemi/faq/cms&drl.htm). Data were collected with a sampling rate of 512 Hz with a low-pass cutoff of 102.4 Hz.

### **EEG data analysis**

The EEG recording system, data pre-processing, and analysis followed the standardized procedure of the EEG working group of the Canadian Biomarker Integration Network in Depression (CAN-BIND) [5,44]. Data pre-processing was performed using EEGLAB, an open-source, MATLAB-based suite for EEG data processing. All data were subjected to a bandpass filter of 1-80 Hz and a bandstop filter of 55-65 Hz and subsequently segmented into 1s epochs. Epochs and channels with noise were excluded from analyses by visual inspection. Independent component analysis (ICA) was then performed to remove artifacts, such as eye blinks, eye movements, and muscle artifacts. This was followed by a second round of visual inspection for noisy channels and epochs. Noisy channels were interpolated, and recordings were re-referenced to the average reference. The EEG data were then subjected to a power spectrum analysis using Welch's method. Absolute power (µV2) was calculated for each of the five frequency bands: delta (1-3.5 Hz), theta (4-7 Hz), alpha (8-12 Hz), beta (12-30 Hz), and gamma (30-60 Hz). Following the procedures from Baskaran et. al, in order to reduce the amount of data in summary statistical analyses of frequency band power, four medial electrode sites subdivided by hemisphere were chosen: frontal (left/right: F3/4), central (C3/4), parietal (P3/4), and occipital (O1/2) regions [5].

### **Statistical analysis**

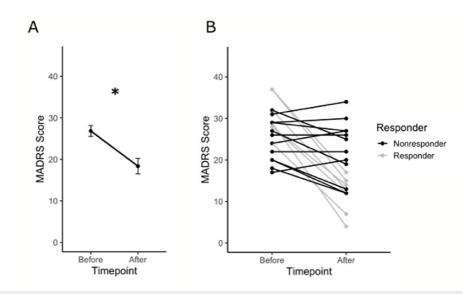
For the clinical outcome measure, MADRS scores pre- and post-intervention were compared using paired t-

tests. Statistical analyses of EEG measures focused on frequency band power at pre- and post-intervention. Absolute frequency band power was analyzed using a mixed-model repeated measures analysis of covariance (ANCOVA) with the percent of change in MADRS score as a covariate and the following within-subject factors: timepoint (before and after) and region (prefrontal, frontal, central, parietal, and occipital). Post hoc analyses between groups were performed using dependent or independent sample t-tests as appropriate. Pearson's correlations between changes in EEG power and changes in MADRS scores were performed for all EEG parameters that identified significant pre-changes versus post-changes across the entire group. All analyses were carried out using the R software.

## **Results**

### **Clinical measures**

Analysis comparing MADRS scores at baseline and post-intervention indicated a significant change in the severity of depression symptoms after five weeks (t = 3.9923, df = 18, p = 0.0009) (Figure *1A*). The clinical response rate was 36.85% (n = 7), and the nonresponse rate was 63.15% (n = 12) (Figure *1B*). A summary of the demographic and clinical characteristics of the study sample is presented in Table *1*.



### FIGURE 1: Participant MADRS scores.

A) The change in MADRS scores before and after RSS treatment for the entire group. Asterisk depicts a significant difference (p = 0.0008). B) The change in MADRS scores for each individual before and after RSS treatment. Responders and nonresponders are colored gray and black, respectively, and response to treatment is defined by an improvement in MADRS of 50%.

# Cureus

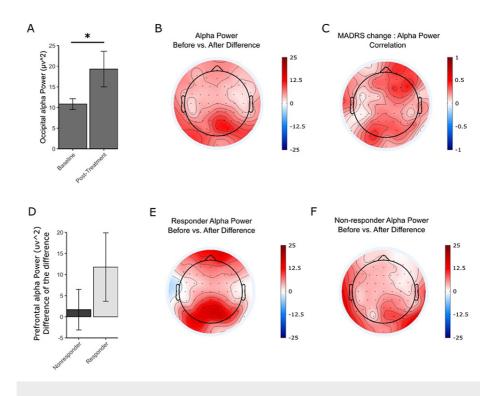
	Responders (n = 7)	Nonresponders (n = 12)	Total (n = 19)	
Age (years)	49.5 ± 9.3	46.8 ± 12.6	47.8 ± 11.3	
Sex (female/male)	5/2	6/6	11/8	
Marital status				
Never married	0	6	6	
Married/partnered	2	5	7	
Divorced/separated	5	1	6	
Education				
High school	2	4	6	
College/no degree	2	1	3	
College/university degree	3	7	10	
Occupational status				
Full-time employed	2	1	3	
Unemployed, looking for work	4	2	6	
Student	0	2	2	
Keeping house	0	1	1	
Disabled	0	5	5	
Retired	1	1	2	
Psychiatric medication (yes/no)	5/2	8/4	13/6	
Baseline MADRS score (0-60)	30.71 ± 4.88	24.58 ± 5.16	26.84 ± 5.78	
Post-intervention MADRS score (0–60)	11.71 ± 4.66	22.25 ± 7.23	18.36 ± 8.14	

TABLE 1: Demographic and clinical characteristics of the study participants. Values are expressed as mean ± standard deviation or count.

### Frequency band power after treatment

The analysis of absolute frequency band power in the eyes-closed condition did not show any significant difference pretreatment versus posttreatment for any frequency band across the entire group. The alpha power in the eyes-closed condition was significantly greater than the eyes-open condition in the occipital regions for the entire group pre- and posttreatment, as expected (data not shown). In the eyes-open condition, there were no significant changes in the delta, theta, or beta frequency bands. Significant differences in power pretreatment versus posttreatment in the eyes-open condition were found for alpha and gamma. Relative power did not show notable results to a significant degree.

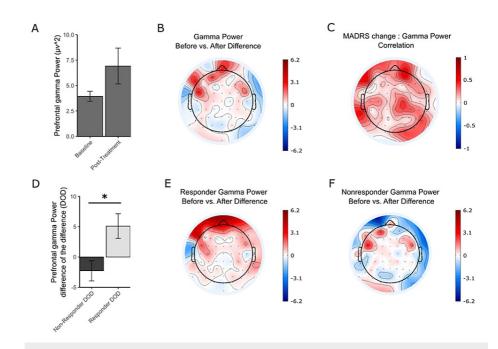
Alpha power increased for patients with MDD after the treatment period. The increase in alpha power was greatest in the occipital area (Figure 2*A*-2*B*). The ANCOVA results indicate a significant interaction of percent of change in MADRS and timepoint (F(1,275) = 21.11, p =  $6.67 \times 10-6$ ). Post hoc results showed a significant increase in occipital alpha power (t = -2.149, df = 18, p = 0.04548) (Figure 2*A*). A regression was performed to measure the correlation between the MADRS change and the alpha power change in each electrode, and the results are plotted as a topographic map showing greater values in the frontal and occipital regions (Figure 2*C*). Changes in the alpha power between responders and nonresponders were also explored. Although the responders showed a greater increase in the prefrontal and occipital regions, this was not significantly different from the nonresponders (Figure 2*D*-2*F*).



### FIGURE 2: Resting-state alpha power before and after treatment.

A) Alpha power in the occipital electrodes. Asterisk depicts a significant difference (p = 0.045). B) Topographical map of the change in alpha power for the entire group across all electrode regions. C) Topographical map showing the correlation coefficient R between the change in alpha power and the change in MADRS at each electrode. D) The change from baseline to posttreatment in prefrontal alpha power (t = 1.0692, df = 10.269, p = 0.3095). E) Topographic map of the change in alpha for responders across electrodes. F) Topographic maps of the change in alpha for ronresponders.

Gamma power also increased for patients with MDD after the treatment period. The increase in gamma power was greatest in the prefrontal area (Figure 3A-3B). The ANCOVA results indicate a significant three-way interaction of percent change in MADRS, timepoint, and region (F(4,275) = 3.25, p = 0.013). There was also an interaction of MADRS and timepoint (F(1,275) = 5.01, p = 0.025), as well as a main effect of region (F(4,68) = 7.68,  $p = 3.615 \times 10$ -5). A post hoc comparison focusing on the prefrontal electrodes Fp1 and Fp2 (included in the ANCOVA model) showed a nonsignificant increase in prefrontal gamma power across all participants (t = -1.6637, df = 18, p = 0.1135) (Figure 3A). A regression was done to measure the correlation between the MADRS change and the gamma power change in each electrode and plotted as a topographic map (Figure 3C). Changes in gamma power between responders and nonresponders were also explored. There was a significant increase in gamma power in the prefrontal regions for responders, but not for the nonresponders ( $5.10 \pm 3.1$  for responders and  $-2.276 \pm 1.85$  for nonresponders). The change in power posttreatment versus pretreatment was significantly larger in responders versus nonresponders (t = 2.8079, df = 13.431, p = 0.01442) (Figure 3D). Topographic maps support these findings and show the prefrontal area as the main region of difference before and after treatment for responders, but not for nonresponders (Figure 3E-3F).



### FIGURE 3: Resting-state gamma power before and after the treatment.

A) Gamma power at the prefrontal electrodes (p = 0.1135). B) Topographical map of the change in gamma power for the entire group. C) Topographical map showing the correlation between the change in gamma power and the change in MADRS at each electrode. D) Gamma power of responders and nonresponders before and after treatment. DOD refers to the difference of gamma power before versus after treatment of the nonresponders and responders. Asterisk indicates a significant difference (p = 0.01442). E) Topographical map of the change in gamma power for the responder group. F) Topographical map of the change in gamma power for the nonresponder group.

### Discussion

This study examined EEG-derived biomarkers to evaluate the effects of sound-driven RSS on depression. We examined changes in resting-state EEG pre- to posttreatment and characterized basic changes in EEG in relation to treatment response. Our results indicate that improvements in depressive symptoms after five weeks of RSS were accompanied by an increase in alpha power in the occipital region and an increase in gamma in the prefrontal region after the treatment period.

A prominent finding in this study was an increase in alpha power in the occipital electrodes after the treatment period. Pretreatment differences in alpha-band activity have been consistently found in pharmacological studies, with responders tending to have greater alpha power than nonresponders at baseline [14,45,46]. However, studies focusing on pretreatment versus posttreatment changes indicate that alpha power either does not change or it decreases with some antidepressant treatments [14,47-49]. Therefore, it is possible that the modulation of neural oscillations induced by RSS may not relate to the same mechanisms.

RSS is a form of pulsed stimulation via sensory input pathways, while other forms of stimulation using transcranial magnetic stimulation (TMS) modulate brain activity bypassing sensory input [50]. Increased alpha oscillatory activity restricted to the area of stimulation is a typical response to repetitive TMS (rTMS) at various frequencies (e.g., single pulse, 1 Hz, 10 Hz, and 20 Hz) [51-53]. Studies investigating rTMS on MDD patients typically stimulate alpha frequency rTMS at the dorsolateral prefrontal cortex [54-56]. In several studies, patients with MDD stimulated in this region showed an increase in frontal alpha power, which has been associated with significant improvements in clinical symptoms [54-56]. Interestingly, one study demonstrated that the rTMS stimulation of the medial prefrontal cortex (which is part of the default mode network (DMN)) could induce an increase in occipital alpha power that lasted even after the discontinuation of the stimulation [52]. This study argued for a strong coupling of the DMN and occipital alpha power, suggesting that the stimulation of this network can induce such an effect. Therefore, it is possible that the increased occipital alpha activity seen in the current study may be related to a long-lasting effect of pulsed stimulation compounded over five weeks of RSS.

Another notable effect of RSS was an increase in prefrontal gamma power that was greater in the responders than in the nonresponders. The use of gamma activity as a biomarker of treatment response in depression is an emerging topic [23,57,58]. It has been shown that different classes of antidepressant drugs have distinct effects on gamma oscillations, whereby serotonin-boosting antidepressants (e.g., citalopram and fluoxetine)

suppress gamma, while noradrenergic drugs and ketamine increase gamma activity [59-64]. These opposing effects suggest that there may be different mechanisms of action that may be associated with distinct treatment responses. This may potentially explain why RSS was effective only for some patients with MDD. Ketamine is an effective treatment for depression, and the most well-characterized and prominent effect of ketamine on resting EEG is an increase in gamma power, although this is not necessarily associated with a reduction in depressive symptoms. Studies on the effects of ketamine on depression have demonstrated increased gamma power in the frontal and prefrontal area, with effects lasting up to nine hours after medication intake [23,63,65-68]. Non-pharmacological treatments for depression have also induced increases in gamma signaling, particularly in the resting state, associated with symptomatic improvement [29,69,70]. Pulsed stimulation with rTMS has shown an increase in gamma activity in the prefrontal area [29]. Collectively, these studies suggest that a long-lasting increase in gamma activity may be an indicator of treatment response for MDD.

Changes in EEG power may also have been impacted by merely listening to music, as there is a musical component to the intervention used in this study. It has been shown that auditory rhythms consisting of pure tones entrain endogenous activity that corresponds to the beat of the music, especially in delta and theta frequency bands [71-77]. Listening to music also engages neural activity across multiple frequency bands that are associated with the perception and processing of music features during naturalistic music listening [78-82]. Short-term music listening can elicit an increase in alpha power usually localized in frontal and temporal regions, whereas an increase in posterior alpha is usually associated with imagining music, which likely did not occur in this study [83]. With regard to resting-state gamma power, it has been shown that music listening induces an overall decrease in gamma, especially in the prefrontal area [84]. While these findings refer to the immediate effects of music on the entrainment of brain oscillations, the long-term effects of music listening on EEG power spectra have not yet been clearly described. It is important to note, however, that the music presented in this intervention was designed to emphasize gamma-band frequencies and it has been well established that the entrainment is maximal at specific frequencies rather than a mix of different frequencies [50]. Moreover, we hypothesize that the effects observed in the present study are related to a long-lasting effect of pulsed stimulation compounded over five weeks of RSS; thus, any supposed neural entrainment induced by RSS cannot be definitively associated with its "musical" nature more so than the pulsed nature of RSS. Further controlled studies are needed to better determine the role of music listening and rhythmic sensory stimulation on the effects induced on depression in this intervention.

### Limitations

A major limitation of this study, however, is that as a pilot study, there was no control group nor a placebo group, so the EEG measurements cannot be differentiated from the placebo effect. However, the results of this study encourage larger, randomized, placebo-controlled studies.

# Conclusions

In conclusion, music and vibroacoustic-based RSS had a positive and significant effect on improving the depressive symptoms of patients with MDD, with a clinical response rate of 37%. These changes were accompanied by an increase in alpha power in the occipital region and an increase in gamma in the prefrontal region, suggesting treatment effects on cortical activity in depression. The EEG biomarkers observed after RSS treatment replicated the findings of other well-known MDD treatments, including rTMS and ketamine.

As this pilot study did not include a control group, there is also a possibility of placebo effects contributing to the observed changes, both in clinical outcomes and EEG measures. Thus, the result of this study encourages future placebo-controlled studies with RSS. Nevertheless, even if the reduction in depressive symptoms in the present study were to be attributable solely to placebo effects, it is still of interest to characterize the neurophysiological changes associated with such a response, so that the physiological mechanisms underlying responses to drugs and other noninvasive stimulation techniques (e.g., TMS) can be compared. Future testing could also examine how single frequency bands interact with other EEG biomarkers of depression including other frequency bands, measurements such as cordance, or the antidepressant treatment response index. Research investigating the fundamental components of RSS (e.g., music, frequency-specific sound, and vibrotactile stimulation) in relation to clinical symptom improvements is also needed. The results of this pilot study will help inform subsequent controlled studies evaluating whether treatment response to vibroacoustic stimulation constitutes a real and replicable reduction of depressive symptoms and characterize the underlying mechanisms.

# **Additional Information**

### Disclosures

**Human subjects:** Consent was obtained or waived by all participants in this study. The University Health Network Research Ethics Board issued approval 15-9799-AE. The protocol was approved by the University Health Network Research Ethics Board (15-9799-AE) and registered at ClinicalTrials.Gov (NCT02685982). Written informed consent was obtained from all participants in accordance with the Declaration of Helsinki. **Animal subjects:** All authors have confirmed that this study did not involve animal subjects or tissue. **Conflicts of interest:** In compliance with the ICMJE uniform disclosure form, all authors declare the following: **Payment/services info:** This research was conducted with financial support from CAN-BIND. CAN-BIND is an Integrated Discovery Program carried out in partnership with, and financial support from, the Ontario Brain Institute, an independent nonprofit corporation, funded partially by the Ontario Government. The opinions, results, and conclusions are those of the authors, and no endorsement by the Ontario Brain Institute is intended or should be inferred. Additional funding was provided by the Connaught Fund, University of Toronto. **Financial relationships:** Lee Bartel declare(s) personal fees from Somerset Group and Sound Oasis and receives limited royalties from the Somerset Group for the Sonic Aid series and from Sound Oasis on the sales of the Vibroacoustic Therapy System VTS-1000 device. To manage this potential conflict of interest, LB was not involved in patient recruitment, consenting, or data collection process and had no direct role in the data analysis process. All other authors declare no competing interests. **Other relationships:** All authors have declared that there are no other relationships or activities that could appear to have influenced the submitted work.

### Acknowledgements

We would like to acknowledge Jackie Jagoda for her review and suggestions of the manuscript after the first draft. We are grateful to all the participants for the time and effort dedicated to their involvement in this research. We also gratefully acknowledge the invaluable support and contributions of Dr. Jonathan Downar, Dr. Peter Giacobbe, Dr. Franca Placenza, Kattleya Tirona, Keith Ho, Xiamin (Jason) Leng, and the Canadian Biomarker Integration Network in Depression (CAN-BIND) team.

### References

- Lopez AD, Mathers CD, Ezzati M, Jamison DT, Murray CJ: Global and regional burden of disease and risk factors, 2001: systematic analysis of population health data. Lancet. 2006, 367:1747-57. 10.1016/S0140-6736(06)68770-9
- Kessler RC, Aguilar-Gaxiola S, Alonso J, et al.: The global burden of mental disorders: an update from the WHO World Mental Health (WMH) surveys. Epidemiol Psichiatr Soc. 2009, 18:23-33. 10.1017/s1121189x00001421
- Warren JB: The trouble with antidepressants: why the evidence overplays benefits and underplays risks-an essay by John B Warren. BMJ. 2020, 370:m3200. 10.1136/bmj.m3200
- Alhaj H, Wisniewski G, McAllister-Williams RH: The use of the EEG in measuring therapeutic drug action: focus on depression and antidepressants. J Psychopharmacol. 2011, 25:1175-91. 10.1177/0269881110388323
- Baskaran A, Farzan F, Milev R, et al.: The comparative effectiveness of electroencephalographic indices in predicting response to escitalopram therapy in depression: a pilot study. J Affect Disord. 2018, 227:542-9. 10.1016/j.jad.2017.10.028
- Hunter AM, Cook IA, Leuchter AF: The promise of the quantitative electroencephalogram as a predictor of antidepressant treatment outcomes in major depressive disorder. Psychiatr Clin North Am. 2007, 30:105-24. 10.1016/j.psc.2006.12.002
- Jaworska N, Protzner A: Electrocortical features of depression and their clinical utility in assessing antidepressant treatment outcome. Can J Psychiatry. 2013, 58:509-14. 10.1177/070674371305800905
- Olbrich S, Arns M: EEG biomarkers in major depressive disorder: discriminative power and prediction of treatment response. Int Rev Psychiatry. 2013, 25:604-18. 10.3109/09540261.2013.816269
- Biomarkers Definitions Working Group: Biomarkers and surrogate endpoints: preferred definitions and conceptual framework. Clin Pharmacol Ther. 2001, 69:89-95. 10.1067/mcp.2001.113989
- Iosifescu DV: Electroencephalography-derived biomarkers of antidepressant response. Harv Rev Psychiatry. 2011, 19:144-54. 10.3109/10673229.2011.586549
- Wade EC, Iosifescu DV: Using electroencephalography for treatment guidance in major depressive disorder. Biol Psychiatry Cogn Neurosci Neuroimaging. 2016, 1:411-22. 10.1016/j.bpsc.2016.06.002
- Olbrich S, van Dinteren R, Arns M: Personalized medicine: review and perspectives of promising baseline EEG biomarkers in major depressive disorder and attention deficit hyperactivity disorder. Neuropsychobiology. 2015, 72:229-40. 10.1159/000437435
- Arns M, Bruder G, Hegerl U, et al.: EEG alpha asymmetry as a gender-specific predictor of outcome to acute treatment with different antidepressant medications in the randomized iSPOT-D study. Clin Neurophysiol. 2016, 127:509-19. 10.1016/j.clinph.2015.05.032
- 14. Bruder GE, Sedoruk JP, Stewart JW, McGrath PJ, Quitkin FM, Tenke CE: Electroencephalographic alpha measures predict therapeutic response to a selective serotonin reuptake inhibitor antidepressant: pre- and post-treatment findings. Biol Psychiatry. 2008, 63:1171-7. 10.1016/j.biopsych.2007.10.009
- Bruder GE, Stewart JW, Tenke CE, McGrath PJ, Leite P, Bhattacharya N, Quitkin FM: Electroencephalographic and perceptual asymmetry differences between responders and nonresponders to an SSRI antidepressant. Biol Psychiatry. 2001, 49:416-25. 10.1016/s0006-3223(00)01016-7
- Leuchter AF, Cook IA, Marangell LB, et al.: Comparative effectiveness of biomarkers and clinical indicators for predicting outcomes of SSRI treatment in major depressive disorder: results of the BRITE-MD study. Psychiatry Res. 2009, 169:124-31. 10.1016/j.psychres.2009.06.004
- Leuchter AF, Uijtdehaage SHJ, Cook IA, O'Hara R, Mandelkern M: Relationship between brain electrical activity and cortical perfusion in normal subjects. Psychiatry Res. 1999, 90:125-40. 10.1016/s0925-4927(99)00006-2
- Leuchter AF, Cook IA, Lufkin RB, et al.: Cordance: a new method for assessment of cerebral perfusion and metabolism using quantitative electroencephalography. Neuroimage. 1994, 1:208-19. 10.1006/nimg.1994.1006
- 19. Walton KD, Llinás RR: Central pain as a thalamocortical dysrhythmia: a thalamic efference disconnection? .

Translational pain research: from mouse to man. Kruger L, Light AR (ed): CRC Press/Taylor & Francis, Boca Raton, FL; 2010.

- De Ridder D, Vanneste S, Langguth B, Llinas R: Thalamocortical dysrhythmia: a theoretical update in tinnitus. Front Neurol. 2015, 6:124. 10.3389/fneur.2015.00124
- Kang SG, Cho SE: Neuroimaging biomarkers for predicting treatment response and recurrence of major depressive disorder. Int J Mol Sci. 2020, 21:10.3390/ijms21062148
- 22. de Aguiar Neto FS, Rosa JL: Depression biomarkers using non-invasive EEG: a review . Neurosci Biobehav Rev. 2019, 105:83-93. 10.1016/j.neubiorev.2019.07.021
- Fitzgerald PJ, Watson BO: Gamma oscillations as a biomarker for major depression: an emerging topic . Transl Psychiatry. 2018, 8:177. 10.1038/s41398-018-0239-y
- 24. Bi K, Chattun MR, Liu X, et al.: Abnormal early dynamic individual patterns of functional networks in low gamma band for depression recognition. J Affect Disord. 2018, 238:366-74. 10.1016/j.jad.2018.05.078
- Cardin JA: Snapshots of the brain in action: local circuit operations through the lens of γ oscillations . J Neurosci. 2016, 36:10496-504. 10.1523/JNEUROSCI.1021-16.2016
- Li Y, Cao D, Wei L, Tang Y, Wang J: Abnormal functional connectivity of EEG gamma band in patients with depression during emotional face processing. Clin Neurophysiol. 2015, 126:2078-89. 10.1016/j.clinph.2014.12.026
- 27. Yamamoto T, Sugaya N, Siegle GJ, et al.: Altered gamma-band activity as a potential biomarker for the recurrence of major depressive disorder. Front Psychiatry. 2018, 9:691. 10.3389/fpsyt.2018.00691
- Pizzagalli DA, Peccoralo LA, Davidson RJ, Cohen JD: Resting anterior cingulate activity and abnormal responses to errors in subjects with elevated depressive symptoms: a 128-channel EEG study. Hum Brain Mapp. 2006, 27:185-201. 10.1002/hbm.20172
- Noda Y, Zomorrodi R, Saeki T, Rajji TK, Blumberger DM, Daskalakis ZJ, Nakamura M: Resting-state EEG gamma power and theta-gamma coupling enhancement following high-frequency left dorsolateral prefrontal rTMS in patients with depression. Clin Neurophysiol. 2017, 128:424-32. 10.1016/j.clinph.2016.12.023
- Papp N, Koncz S, Kostyalik D, Kitka T, Petschner P, Vas S, Bagdy G: Acute 5-HT2C receptor antagonist SB-242084 treatment affects EEG gamma band activity similarly to chronic escitalopram. Front Pharmacol. 2019, 10:1636. 10.3389/fphar.2019.01636
- Strüber D, Herrmann CS: Modulation of gamma oscillations as a possible therapeutic tool for neuropsychiatric diseases: a review and perspective. Int J Psychophysiol. 2020, 152:15-25. 10.1016/j.ijpsycho.2020.03.003
- 32. Adaikkan C, Tsai LH: Gamma entrainment: impact on neurocircuits, glia, and therapeutic opportunities . Trends Neurosci. 2020, 43:24-41. 10.1016/j.tins.2019.11.001
- Iaccarino HF, Singer AC, Martorell AJ, et al.: Gamma frequency entrainment attenuates amyloid load and modifies microglia. Nature. 2016, 540:230-5. 10.1038/nature20587
- 34. Martorell AJ, Paulson AL, Suk HJ, et al.: Multi-sensory gamma stimulation ameliorates Alzheimer'sassociated pathology and improves cognition. Cell. 2019, 177:256-271.e22. 10.1016/j.cell.2019.02.014
- Zheng L, Yu M, Lin R, et al.: Rhythmic light flicker rescues hippocampal low gamma and protects ischemic neurons by enhancing presynaptic plasticity. Nat Commun. 2020, 11:3012. 10.1038/s41467-020-16826-0
- Lakatos P, Gross J, Thut G: A new unifying account of the roles of neuronal entrainment. Curr Biol. 2019, 29:R890-905. 10.1016/j.cub.2019.07.075
- Herrmann CS, Strüber D, Helfrich RF, Engel AK: EEG oscillations: from correlation to causality. Int J Psychophysiol. 2016, 103:12-21. 10.1016/j.ijpsycho.2015.02.003
- Clements-Cortes A, Ahonen H, Evans M, Freedman M, Bartel L: Short-term effects of rhythmic sensory stimulation in Alzheimer's disease: an exploratory pilot study. J Alzheimers Dis. 2016, 52:651-60. 10.3233/JAD-160081
- Mosabbir A, Almeida QJ, Ahonen H: The effects of long-term 40-Hz physioacoustic vibrations on motor impairments in Parkinson's disease: a double-blinded randomized control trial. Healthcare (Basel). 2020, 8:10.3390/healthcare8020113
- Bartel LR, Chen RE, Alain C, Ross B: Vibroacoustic stimulation and brain oscillation: from basic research to clinical application. Music Med. 2017, 9:153-66. 10.47513/mmd.v9i3.542
- 41. Bartel L, Mosabbir A: Possible mechanisms for the effects of sound vibration on human health . Healthcare (Basel). 2021, 9:10.3390/healthcare9050597
- Braun Janzen T, Al Shirawi MI, Rotzinger S, Kennedy SH, Bartel L: A pilot study investigating the effect of music-based intervention on depression and anhedonia. Front Psychol. 2019, 10:1038. 10.3389/fpsyg.2019.01038
- Montgomery SA, Asberg M: A new depression scale designed to be sensitive to change . Br J Psychiatry. 1979, 134:382-9. 10.1192/bjp.134.4.382
- 44. Farzan F, Atluri S, Frehlich M, et al.: Standardization of electroencephalography for multiplatform and multi-investigator studies: insights from the Canadian biomarker integration network in depression. Sci Rep. 2017, 7:7473. 10.1038/s41598-017-07613-x
- 45. Knott VJ, Telner JI, Lapierre YD, Browne M, Horn ER: Quantitative EEG in the prediction of antidepressant response to imipramine. J Affect Disord. 1996, 39:175-84. 10.1016/0165-0327(96)00003-1
- Tenke CE, Kayser J, Manna CG, et al.: Current source density measures of electroencephalographic alpha predict antidepressant treatment response. Biol Psychiatry. 2011, 70:388-94. 10.1016/j.biopsych.2011.02.016
- Leuchter AF, Hunter AM, Jain FA, Tartter M, Crump C, Cook IA: Escitalopram but not placebo modulates brain rhythmic oscillatory activity in the first week of treatment of major depressive disorder. J Psychiatr Res. 2017, 84:174-83. 10.1016/j.jpsychires.2016.10.002
- Blain-Moraes S, Lee U, Ku S, Noh G, Mashour GA: Electroencephalographic effects of ketamine on power, cross-frequency coupling, and connectivity in the alpha bandwidth. Front Syst Neurosci. 2014, 8:114. 10.3389/fnsys.2014.00114
- 49. Ebert U, Grossmann M, Oertel R, Gramatté T, Kirch W: Pharmacokinetic-pharmacodynamic modeling of the

electroencephalogram effects of scopolamine in healthy volunteers. J Clin Pharmacol. 2001, 41:51-60. 10.1177/00912700122009836

- Thut G, Schyns PG, Gross J: Entrainment of perceptually relevant brain oscillations by non-invasive rhythmic stimulation of the human brain. Front Psychol. 2011, 2:170. 10.3389/fpsyg.2011.00170
- Lin YJ, Shukla L, Dugué L, Valero-Cabré A, Carrasco M: TMS entrains occipital alpha activity: Individual alpha frequency predicts the strength of entrained phase-locking. bioRxiv. 2021, 10.21203/rs.3.rs-574152/v1
- 52. Bonnard M, Chen S, Gaychet J, Carrere M, Woodman M, Giusiano B, Jirsa V: Resting state brain dynamics and its transients: a combined TMS-EEG study. Sci Rep. 2016, 6:31220. 10.1038/srep31220
- 53. Veniero D, Brignani D, Thut G, Miniussi C: Alpha-generation as basic response-signature to transcranial magnetic stimulation (TMS) targeting the human resting motor cortex: a TMS/EEG co-registration study. Psychophysiology. 2011, 48:1381-9. 10.1111/j.1469-8986.2011.01218.x
- 54. Noda Y: Potential neurophysiological mechanisms of 1Hz-TMS to the right prefrontal cortex for depression: an exploratory TMS-EEG study in healthy participants. J Pers Med. 2021, 11:10.3390/jpm11020068
- Noda Y, Nakamura M, Saeki T, Inoue M, Iwanari H, Kasai K: Potentiation of quantitative electroencephalograms following prefrontal repetitive transcranial magnetic stimulation in patients with major depression. Neurosci Res. 2013, 77:70-7. 10.1016/j.neures.2013.06.002
- Spronk D, Arns M, Bootsma A, van Ruth R, Fitzgerald PB: Long-term effects of left frontal rTMS on EEG and ERPs in patients with depression. Clin EEG Neurosci. 2008, 39:118-24. 10.1177/155005940803900305
- 57. Arikan MK, Metin B, Tarhan N: EEG gamma synchronization is associated with response to paroxetine treatment. J Affect Disord. 2018, 235:114-6. 10.1016/j.jad.2018.04.041
- Alario AA, Niciu MJ: Biomarkers of ketamine's antidepressant effect: a clinical review of genetics, functional connectivity, and neurophysiology. Chronic Stress (Thousand Oaks). 2021, 5:24705470211014210. 10.1177/24705470211014210
- Akhmetshina D, Zakharov A, Vinokurova D, Nasretdinov A, Valeeva G, Khazipov R: The serotonin reuptake inhibitor citalopram suppresses activity in the neonatal rat barrel cortex in vivo. Brain Res Bull. 2016, 124:48-54. 10.1016/j.brainresbull.2016.03.011
- 60. Méndez P, Pazienti A, Szabó G, Bacci A: Direct alteration of a specific inhibitory circuit of the hippocampus by antidepressants. J Neurosci. 2012, 32:16616-28. 10.1523/JNEUROSCI.1720-12.2012
- Puig MV, Watakabe A, Ushimaru M, Yamamori T, Kawaguchi Y: Serotonin modulates fast-spiking interneuron and synchronous activity in the rat prefrontal cortex through 5-HT1A and 5-HT2A receptors. J Neurosci. 2010, 30:2211-22. 10.1523/JNEUROSCI.3335-09.2010
- Hajós M, Hoffmann WE, Robinson DD, Yu JH, Hajós-Korcsok E: Norepinephrine but not serotonin reuptake inhibitors enhance theta and gamma activity of the septo-hippocampal system. Neuropsychopharmacology. 2003, 28:857-64. 10.1038/sj.npp.1300116
- Berman RM, Cappiello A, Anand A, Oren DA, Heninger GR, Charney DS, Krystal JH: Antidepressant effects of ketamine in depressed patients. Biol Psychiatry. 2000, 47:351-4. 10.1016/s0006-3223(99)00230-9
- Gilbert JR, Zarate CA Jr: Electrophysiological biomarkers of antidepressant response to ketamine in treatment-resistant depression: gamma power and long-term potentiation. Pharmacol Biochem Behav. 2020, 189:172856. 10.1016/j.pbb.2020.172856
- Moran RJ, Jones MW, Blockeel AJ, Adams RA, Stephan KE, Friston KJ: Losing control under ketamine: suppressed cortico-hippocampal drive following acute ketamine in rats. Neuropsychopharmacology. 2015, 40:268-77. 10.1038/npp.2014.184
- Gilbert JR, Yarrington JS, Wills KE, Nugent AC, Zarate CA: Glutamatergic signaling drives ketaminemediated response in depression: evidence from dynamic causal modeling. Int J Neuropsychopharmacol. 2018, 21:740-7. 10.1093/ijnp/pyy041
- Nugent AC, Ballard ED, Gould TD, Park LT, Moaddel R, Brutsche NE, Zarate CA Jr: Ketamine has distinct electrophysiological and behavioral effects in depressed and healthy subjects. Mol Psychiatry. 2019, 24:1040-52. 10.1038/s41380-018-0028-2
- Nugent AC, Wills KE, Gilbert JR, Zarate CA Jr: Synaptic potentiation and rapid antidepressant response to ketamine in treatment-resistant major depression: a replication study. Psychiatry Res Neuroimaging. 2019, 283:64-6. 10.1016/j.pscychresns.2018.09.001
- Bailey NW, Hoy KE, Rogasch NC, et al.: Responders to rTMS for depression show increased fronto-midline theta and theta connectivity compared to non-responders. Brain Stimul. 2018, 11:190-203. 10.1016/j.brs.2017.10.015
- Pathak Y, Salami O, Baillet S, Li Z, Butson CR: Longitudinal changes in depressive circuitry in response to neuromodulation therapy. Front Neural Circuits. 2016, 10:50. 10.3389/fncir.2016.00050
- 71. Calderone DJ, Lakatos P, Butler PD, Castellanos FX: Entrainment of neural oscillations as a modifiable substrate of attention. Trends Cogn Sci. 2014, 18:300-9. 10.1016/j.tics.2014.02.005
- 72. Besle J, Schevon CA, Mehta AD, et al.: Tuning of the human neocortex to the temporal dynamics of attended events. J Neurosci. 2011, 31:3176-85. 10.1523/JNEUROSCI.4518-10.2011
- Bridwell DA, Leslie E, McCoy DQ, Plis SM, Calhoun VD: Cortical sensitivity to guitar note patterns: EEG entrainment to repetition and key. Front Hum Neurosci. 2017, 11:90. 10.3389/fnhum.2017.00090
- Jirakittayakorn N, Wongsawat Y: Brain responses to 40-Hz binaural beat and effects on emotion and memory. Int J Psychophysiol. 2017, 120:96-107. 10.1016/j.ijpsycho.2017.07.010
- 75. Nozaradan S, Peretz I, Missal M, Mouraux A: Tagging the neuronal entrainment to beat and meter. J Neurosci. 2011, 31:10234-40. 10.1523/JNEUROSCI.0411-11.2011
- Tal I, Large EW, Rabinovitch E, Wei Y, Schroeder CE, Poeppel D, Zion Golumbic E: Neural entrainment to the beat: the "missing-pulse" phenomenon. J Neurosci. 2017, 37:6331-41. 10.1523/JNEUROSCI.2500-16.2017
- Mania I, Kaur J: Bright Light Therapy and rTMS; novel combination approach for the treatment of depression. Brain Stimul. 2019, 12:1338-9. 10.1016/j.brs.2019.06.002
- Doelling KB, Poeppel D: Cortical entrainment to music and its modulation by expertise . Proc Natl Acad Sci U S A. 2015, 112:E6233-42. 10.1073/pnas.1508431112
- 79. Bhattacharya J, Petsche H, Pereda E: Long-range synchrony in the gamma band: role in music perception . J

Neurosci. 2001, 21:6329-37. 10.1523/JNEUROSCI.21-16-06329.2001

- Omigie D, Lehongre K, Navarro V, Adam C, Samson S: Neuro-oscillatory tracking of low- and high-level musico-acoustic features during naturalistic music listening: insights from an intracranial electroencephalography study. Psychomusicology. 2020, 30:37-51. 10.1037/pmu0000249
- 81. Jäncke L, Alahmadi N: Detection of independent functional networks during music listening using electroencephalogram and sLORETA-ICA. Neuroreport. 2016, 27:455-61. 10.1097/WNR.00000000000563
- Tichko P, Kim JC, Large E, Loui P: Integrating music-based interventions with gamma-frequency stimulation: implications for healthy ageing. Eur J Neurosci. 2020, 10.1111/ejn.15059
- Kučikienė D, Praninskienė R: The impact of music on the bioelectrical oscillations of the brain . Acta Med Litu. 2018, 25:101-6. 10.6001/actamedica.v25i2.3763
- Urakami Y, Kawamura K, Washizawa Y, Cichocki A: Electroencephalographic gamma-band activity and music perception in musicians and non-musicians. Act Nerv Super Rediviva. 2013, 55:149-57.